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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



RE PATENT APPLICATION OF:

DOCKET NO.: 6161.0078.US

JIN-YOUNG LEE, *ET AL.*

CONFIRMATION NO.: 4174

APPLICATION NO.: 10/617,811

GROUP ART UNIT: 1745

FILED: JULY 14, 2003

EXAMINER: *To be assigned*

For: **LITHIUM SECONDARY BATTERY AND A METHOD FOR PREPARING THE SAME**

MAIL STOP AMENDMENT  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
ALEXANDRIA, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the duty of disclosure under 37 C.F.R. §§ 1.56, and in compliance with §§ 1.97 - 98 and M.P.E.P. § 609, Applicant respectfully brings the following information listed on accompanying Form PTO/SB/08 to the attention of the Examiner.

Applicant has listed publication dates on the attached PTO/SB/08 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicant respectfully asserts that the documents listed on the accompanying form PTO/SB/08 may not necessarily be prior art, and accordingly, Applicant reserves the right to prove, when appropriate, that a document is not prior art. Moreover, Applicant reserves the right to establish the patentability of the claimed invention over

any information provided herewith, and/or, to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith. It is further understood that the Examiner will consider information that had been cited by or submitted to the U.S. Patent and Trademark Office in a prior application relied on under 35 U.S.C. §120. 1138 OG 37, 38 (May 19, 1992).

Further to the U.S. Patent and Trademark Office's decision to waive the requirement under 37 C.F.R. §1.98 (a)(2)(i), copies of the U.S. patents and U.S. patent applications are not enclosed herewith. However, if any copies are needed, the Examiner is respectfully requested to contact the undersigned.

Copies of the non-U.S. patents and non-U.S. patent applications documents are enclosed together with a duly completed Form PTO/SB/08. The Examiner is accordingly requested to consider each of these documents, and to make them of record in this application by initialing in the appropriate spaces on the Form PTO/SB/08. Applicant respectfully requests that the Examiner include a copy of the initialed Form PTO/SB/08 with the next communication from the U.S. Patent and Trademark Office.

Applicant has checked the appropriate boxes below.

- ☒ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits OR before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. §1.114. No certification or fee is required.
- ☐ 2. Applicant notes that an Office Action on the merits has not issued in the above-identified application, and thus no fee is believed necessary to ensure consideration of the submitted material. However, if an Office Action on the merits has issued and crosses this statement in the mail, the undersigned hereby authorizes the Commissioner to charge any fee necessary for the consideration of this statement, including any payment under 37 C.F.R. §1.17 (p) to Deposit Account No. 23-1951.
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ c. Attached is our check in the amount of \$ 180.00 in payment of the fee under 37 C.F.R. § 1.17(p).
- ☐ 4. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered.
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than


three months prior to the filing of this Information Disclosure Statement.  
37 C.F.R. § 1.97(e)(1).

☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

- ☐ 5. Relevance of the non-English language document(s) is discussed in the specification of the above-identified application.
- ☒ 6. The document(s) was/were cited in a corresponding foreign application.
- ☐ 7. A concise explanation of the relevance of the non-English language document(s) appears below:
- ☐ 8. The Examiner's attention is directed to co-pending U.S. Patent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is directed to related subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the above-identified application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination.
- ☐ 9. Copies of the documents were cited by or submitted to the Office in Application No. \_\_\_\_\_, \_\_\_\_\_, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).

It is respectfully requested that the Examiner initial and return a copy of the enclosed form PTO/SB/08, and to indicate in the official file wrapper of this patent application that the documents have been considered.

RESPECTFULLY SUBMITTED,



Hae-Chan Park  
REG. NO. 50,114

DATE: August 11, 2005

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